



## NOTICE OF PRECISION SECURITIES, LLC PRIVACY POLICY

**Our commitment to Your Privacy:** Precision Securities, LLC (“Precision Securities”) has a longstanding policy of protecting the confidentiality and security of information we collect about customers. We will not share nonpublic information about you (“Information”) without your consent, except for specific purposes described below. This notice describes the Information we may gather and the circumstances under which we may share it.

**Why We Collect and How We Use Information:** We limit the collection and use of Information to the minimum we require to deliver superior service to you. Such service includes maintaining your accounts with us, processing transactions requested by you and administering our business.

**How We Gather Information:** We get most Information directly from you, when you apply for, access and use financial products and services offered by our firm. We may verify this information or get additional information from consumer reporting agencies or public sources. This Information may relate to your finances, employment, as well as transactions and interactions with our firm or others.

**How We Protect Information:** Our employees are required to protect the confidentiality of Information and to comply with our established policies. They may access Information only when there is an appropriate reason to do so, such as to administer our products or services. We also maintain physical, electronic and procedural safeguards to protect Information, which comply with all applicable laws. Employees who violate our policies and procedures are subject to a disciplinary process.

**Disclosure of Information:** We may disclose any Information to or when we believe it necessary for the conduct of our business, or where law requires disclosure. For example, Information may be disclosed for audit or research purposes, to attorneys or other professionals, or to law enforcement and regulatory agencies, for example, to help prevent fraud. In addition, we may disclose Information to third party service providers (i) to enable them to provide business services for our firm, such as performing computer related data maintenance or processing services for our firm, (ii) to facilitate the processing of transactions requested by you, (iii), to assist us in offering products and services to you, or (iv) for credit review and reporting purposes. Except in those specific, limited situations, without your consent, **we will not make any disclosures** of Information to other companies who may want to sell their products or services to you. For example, **we do not sell customer lists** and **we will not sell your name** to a catalogue company.

**Former Customers:** Even if you are no longer a customer of our firm, our Privacy Policy will continue to apply. Please note that under applicable regulations, we are required to maintain Information obtained from our customers for at least six years after the closure of an account.

**Access to and Correction of Information:** If you desire to review any file we may maintain for your personal Information, please contact us. If you notify us that any Information is incorrect, we will review it. If we agree, we will correct our records. If we do not agree, you may submit a short statement of dispute,

16885 Via Del Campo Ct., Suite 120 • San Diego, CA 92127  
Toll Free: (877) 757-8832 • Phone: (858) 673-6653 • Fax: (858) 673-0227

MEMBER: FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA)  
SECURITIES INVESTORS PROTECTION CORPORATION (SIPC)

which we will include in future disclosures of disputed Information. Information collected in connection with, or in anticipation of, any claim or legal proceeding will not be made available.

**Notice to California Residents:** On June 28, 2018, California enacted the California Consumer Privacy Act of 2018 (CCPA), which came into effect on January 1, 2020. The CCPA provides California residents with specific rights regarding their Personal Data. If you reside in California, you can exercise these rights by contacting us at [compliance@precsecurities.com](mailto:compliance@precsecurities.com).

California Civil Code Section 1798.83 permits California residents to request and obtain from us a list of what Personal Data (if any) we disclosed to third parties for that third party's direct marketing purposes in the preceding calendar year and the names and addresses of those third parties. Requests may be made only once a year and are free of charge. Under Section 1798.83, if we were to share your Personal Data with third parties for their marketing purposes, you may opt-out of this disclosure at any time by submitting a request to us in writing.

Please note that the CCPA does not apply to what is referred to as *nonpublic personal information* collected by financial institutions (like Precision Securities), as that information is subject instead to other financial privacy laws. As a result, the CCPA does not apply to most of the information that Precision collects.

Over the past 12 months, the only type of information we have collected potentially subject to the CCPA includes web-browsing information (i.e. 'cookies') collected from passive visitors to our website who are California residents, but are not our clients and who do not provide us with any specific personal information (name, email address, street address, social security number, etc.) when requesting information about our services, start an account application, or become a client. Information collected from these anonymous, passive visitors to our website may include IP address and browser-specific tags. We do not sell this information to third parties. We use this information for internal purposes, such as to determine the effectiveness of our advertisements and which content on our website is most interesting to visitors. Under the CCPA, California residents connected to this type of web-browsing data have a right to request access to it or request that it be deleted. However, we are unable (and not required) to process such requests because we cannot connect such passive web-browsing information collected with an email address or other personal information that we can use to verify that a requesting party is in fact connected to a specific web-browser or IP address.

**Further Information:** We reserve the right to change this Privacy Policy. The examples contained within this Privacy Policy are illustrations and they are not intended to be exclusive. This notice complies with a recently enacted Federal Law and SEC regulations regarding privacy. You may have additional rights under other foreign or domestic laws that may apply to you.

Should you have any additional questions concerning our privacy policy, you may submit a written request for additional information to our Customer Service Department located at 16685 Via Del Campo Ct., Suite 120, San Diego 92127.

16885 Via Del Campo Ct., Suite 120 • San Diego, CA 92127  
Toll Free: (877) 757-8832 • Phone: (858) 673-6653 • Fax: (858) 673-0227

MEMBER: FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA)  
SECURITIES INVESTORS PROTECTION CORPORATION (SIPC)