

SEC Rule 606 Report - Precision Securities - 4th Qtr -- 2016

Securities Listed on New York Exchange Euronext

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	39.085%	33.815%	44.689%	100.000%
Clearpool	29.098%	23.811%	35.583%	0.000%
ICBCFS	9.794%	1.414%	19.728%	0.000%
River Cross	9.024%	16.783%	0.000%	0.000%
Nasdaq	7.097%	13.200%	0.000%	0.000%
Bayes	5.773%	10.737%	0.000%	0.000%
BMA Securities	0.078%	0.144%	0.000%	0.000%
Wall Street Access	0.033%	0.061%	0.000%	0.000%
Keystone Capital	0.018%	0.034%	0.000%	0.000%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	55.730%	46.146%	63.392%	100.000%
Clearpool	28.989%	30.196%	28.391%	0.000%
Nasdaq	7.344%	15.980%	0.000%	0.000%
Bayes	2.156%	4.691%	0.000%	0.000%
ICBCFS	4.463%	0.194%	8.217%	0.000%
River Cross	1.259%	2.740%	0.000%	0.000%
BMA Securities	0.044%	0.097%	0.000%	0.000%
Keystone Capital	0.014%	0.030%	0.000%	0.000%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	53.220%	21.85%	86.11%	0.00%
Clearpool	43.475%	72.35%	13.19%	0.00%
Bayes	1.271%	2.48%	0.00%	0.00%
BMA Securities	1.017%	1.99%	0.00%	0.00%
Nasdaq	0.678%	1.32%	0.00%	0.00%
ICBCFS	0.339%	0.00%	0.69%	0.00%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Exchange-Listed Orders

No Covered Orders

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
60,627	32,598	27,763	266	0			
23,696	11,023	12,407	266	0			
17,641	7,762	9,879	0	0			
5,938	461	5,477	0	0			
5,471	5,471	0	0	0			
4,303	4,303	0	0	0			
3,500	3,500	0	0	0			
47	47	0	0	0			
20	20	0	0	0			
11	11	0	0	0			

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
29,221	13,439	15,554	228	0			
16,285	6,197	9,860	228	0			
8,471	4,055	4,416	0	0			
2,146	2,146	0	0	0			
630	630	0	0	0			
1,304	26	1,278	0	0			
368	368	0	0	0			
13	13	0	0	0			
4	4	0	0	0			

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
1,180	604	576	0	0			
628	132	496	0	0			
513	437	76	0	0			
15	15	0	0	0			
12	12	0	0	0			
8	8	0	0	0			
4	0	4	0	0			