

SEC Rule 606 Report - Precision Securities - 3rd Qtr - 2018

Securities Listed on New York Exchange Euronext

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	37.211%	32.546%	40.695%	90.355%
Clearpool	37.084%	43.205%	32.215%	0.000%
NASDAQ	10.062%	21.996%	0.000%	0.000%
PDQX	6.601%	0.022%	12.259%	0.000%
Citadel	5.004%	1.691%	7.780%	9.645%
Investment Technology Group	2.081%	0.000%	3.871%	0.000%
UBSS	1.769%	0.131%	3.180%	0.000%
Flex Trade	0.075%	0.164%	0.000%	0.000%
Goldman Sachs	0.057%	0.125%	0.000%	0.000%
BMA Securities	0.055%	0.120%	0.000%	0.000%
Meridian Equity	0.015%	0.000%	0.028%	0.000%

100.00% of total customer orders were non-directed orders.

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
40,071	18,331	21,543	197	0	0	0	0
14,911	5,966	8,767	178	0	0	0	0
14,860	7,920	6,940	0	0	0	0	0
4,032	4,032	0	0	0	0	0	0
2,645	4	2,641	0	0	0	0	0
2,005	310	1,676	19	0	0	0	0
834	0	834	0	0	0	0	0
709	24	685	0	0	0	0	0
30	30	0	0	0	0	0	0
23	23	0	0	0	0	0	0
22	22	0	0	0	0	0	0
6	0	6	0	0	0	0	0

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	47.002%	47.579%	46.224%	75.000%
Clearpool	45.330%	45.040%	46.047%	0.000%
Citadel	5.430%	5.643%	5.046%	25.000%
UBSS	1.140%	1.113%	1.175%	0.000%
PDQX	0.792%	0.177%	1.316%	0.000%
NASDAQ	0.119%	0.261%	0.000%	0.000%
Flex Trade	0.073%	0.076%	0.071%	0.000%
Investment Technology Group	0.065%	0.025%	0.099%	0.000%
Goldman Sachs	0.038%	0.084%	0.000%	0.000%
Meridian Equity	0.011%	0.000%	0.021%	0.000%
BMA Securities	0.008%	0.017%	0.000%	0.000%

100.00% of total customer orders were non-directed orders.

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
26,133	11,856	14,129	148	0	0	0	0
12,283	5,641	6,531	111	0	0	0	0
11,846	5,340	6,506	0	0	0	0	0
1,419	669	713	37	0	0	0	0
298	132	166	0	0	0	0	0
207	21	186	0	0	0	0	0
31	31	0	0	0	0	0	0
19	9	10	0	0	0	0	0
17	3	14	0	0	0	0	0
10	10	0	0	0	0	0	0
3	0	3	0	0	0	0	0
2	2	0	0	0	0	0	0

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	56.357%	32.688%	69.559%	0.000%
Clearpool	37.371%	57.869%	26.168%	0.000%
Citadel	6.186%	9.201%	4.272%	100.000%
BMA Securities	0.086%	0.242%	0.000%	0.000%

100.00% of total customer orders were non-directed orders.

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
1,164	413	749	2	0	0	0	0
656	135	521	0	0	0	0	0
435	239	196	0	0	0	0	0
72	38	32	2	0	0	0	0
1	1	0	0	0	0	0	0

Exchange-Listed Orders

No Covered Orders

Information concerning route venues:

Nasdaq Execution Services - Precision Securities, LLC receives payment from Nasdaq Execution Services for orders that add liquidity to this venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0025 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0023 per share.

BMA Securities - Precision Securities, LLC receives payment from BMA Securities for directing listed equity order flow to this venue. Payment is varied based on the size and type of security at the time of order execution. Payments received averaged below .05 per total executed shares.

Knight Capital Group - Precision Securities, LLC receives payment from Knight Capital Group for orders that add liquidity to this venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0028 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0035 per share.

Clear Pool - Precision Securities, LLC receives payment from Clear Pool for orders that add liquidity to this venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0030 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0035 per share.

Citadel - Precision Securities, LLC receives payment from Citadel for orders that add liquidity to this venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0030 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0035 per share.

PDQX - Precision Securities, LLC receives payment from PDQX for orders that add liquidity to this venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0030 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0035 per share.

Vertical Group - Precision Securities, LLC receives payment from Vertical Group for orders that add liquidity to this venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0030 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0035 per share.

Meridian Equity - Precision Securities, LLC receives payment from Meridian Equity for orders that add liquidity to this venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0030 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0035 per share.

Flex Trade - Precision Securities, LLC does not receive payment from Flex Trade Systems, Inc. Precision Securities, LLC may receive payment from routing vendor transacting thru Flex Trade OMS for orders that add liquidity to a venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0030 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0035 per share.

Goldman Sachs - Precision Securities, LLC does not received payment from Goldman Sachs & Co, LLC

Investment Technology Group - Precision Securities, LLC receives payment from ITG for orders that add liquidity to this venue and are subsequently executed. The rate for adding liquidity for listed securities is as high as .0030 per share. Precision Securities LLC is charged for removing liquidity from this venue. The rate of removing liquidity is as high as .0035 per share.