

SEC Rule 606 Report - Precision Securities - 3rd Qtr -- 2017

Securities Listed on New York Exchange Euronext

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	48.678%	54.573%	35.847%	92.466%
Clearpool	39.816%	37.167%	45.976%	0.000%
Nasdaq	4.536%	6.611%	0.000%	0.000%
BMA Securities	0.062%	0.090%	0.000%	0.000%
Citadel BB	0.003%	0.000%	0.011%	0.000%
Citadel	5.148%	1.416%	13.354%	7.534%
Goldman Sachs	0.069%	0.100%	0.000%	0.000%
Investment Technology Group	0.431%	0.000%	1.383%	0.000%
Meridian Equity	0.002%	0.000%	0.006%	0.000%
PDQX	1.066%	0.000%	3.424%	0.000%
Speed Route	0.003%	0.005%	0.000%	0.000%
Vertical Group	0.026%	0.038%	0.000%	0.000%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	39.020%	51.880%	29.616%	0.000%
Clearpool	46.307%	39.793%	51.128%	0.000%
Nasdaq	4.733%	1.901%	6.815%	0.000%
BMA Securities	0.014%	0.034%	0.000%	0.000%
Citadel BB	0.040%	0.085%	0.006%	0.000%
Clearpool Raptor	3.404%	1.146%	5.065%	0.000%
Citadel	5.336%	4.906%	5.569%	100.000%
Goldman Sachs	0.043%	0.102%	0.000%	0.000%
Investment Technology Group	0.111%	0.025%	0.174%	0.000%
Meridian Equity	0.004%	0.000%	0.006%	0.000%
PDQX	0.923%	0.000%	1.601%	0.000%
Speed Route	0.011%	0.025%	0.000%	0.000%
Asiel and Company	0.018%	0.017%	0.019%	0.000%
Vertical Group	0.036%	0.085%	0.000%	0.000%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Clearpool	8.442%	8.826%	0.000%	0.000%
Knight Capital Group	91.330%	91.144%	95.395%	100.000%
Citadel	0.227%	0.030%	4.605%	0.000%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Exchange-Listed Orders

No Covered Orders

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
58,067	39,844	18,077	146	0			
28,266	21,744	6,480	135	0			
23,120	14,809	8,311	0	0			
2,634	2,634	0	0	0			
36	36	0	0	0			
2	0	2	0	0			
2,989	564	2,414	11	0			
40	40	0	0	0			
250	0	250	0	0			
1	0	1	0	0			
619	0	619	0	0			
2	2	0	0	0			
15	15	0	0	0			

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
27,847	11,781	16,052	14	0			
10,866	6,112	4,754	0	0			
12,895	4,688	8,207	0	0			
1,318	224	1,094	0	0			
4	4	0	0	0			
11	10	1	0	0			
948	135	813	0	0			
1,486	578	894	14	0			
12	12	0	0	0			
31	3	28	0	0			
1	0	1	0	0			
257	0	257	0	0			
3	3	0	0	0			
5	2	3	0	0			
10	10	0	0	0			

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
3,518	3,365	152	1	0			
297	297	0	0	0			
3,213	3,067	145	1	0			
8	1	7	0	0			