

SEC Rule 606 Report - Precision Securities - 3rd Qtr -- 2016

Securities Listed on New York Exchange Euronext

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	34.926%	28.522%	40.966%	100.000%
Credit Suisse	0.005%	0.000%	0.011%	0.000%
ICBCFS	21.090%	6.138%	36.686%	0.000%
Wall Street Access	0.000%	0.000%	0.000%	0.000%
Clearpool	20.520%	18.928%	22.338%	0.000%
BMA Securities	0.103%	0.103%	0.000%	0.000%
River Cross	20.223%	40.011%	0.000%	0.000%
Keystone Capital	0.008%	0.008%	0.000%	0.000%
Nasdaq	3.039%	6.013%	0.000%	0.000%
Bayes	0.086%	0.169%	0.000%	0.000%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	47.867%	40.619%	50.753%	100.000%
Clearpool	29.682%	44.570%	22.951%	0.000%
Credit Suisse	0.014%	0.044%	0.000%	0.000%
ICBCFS	19.230%	4.710%	26.296%	0.000%
Nasdaq	1.051%	3.297%	0.000%	0.000%
River Cross	2.155%	6.760%	0.000%	0.000%
Keystone Capital	0.003%	0.009%	0.000%	0.000%
BMA Securities	0.014%	0.107%	0.000%	0.000%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Publicly Posted Percentages			
	Total (%)	Market (%)	Limit (%)	Other (%)
Total %	100%	100%	100%	100%
Knight Capital Group	69.071%	45.55%	75.19%	100.00%
ICBCFS	13.755%	5.16%	16.08%	0.00%
Credit Suisse	0.000%	0.00%	0.00%	0.00%
Clearpool	17.175%	49.29%	8.73%	0.00%
Wall Street Access	0.000%	0.00%	0.00%	0.00%
River Cross	0.000%	0.00%	0.00%	0.00%
Nasdaq	0.000%	0.00%	0.00%	0.00%

100.00% of total customer orders were non-directed orders.

[Route Disclosures](#)

Exchange-Listed Orders

No Covered Orders

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
75,907	38,367	37,219	321	0			
26,511	10,943	15,247	321	0			
4	0	4	0	0			
16,009	2,355	13,654	0	0			
0	0	0	0	0			
15,576	7,262	8,314	0	0			
78	78	0	0	0			
15,351	15,351	0	0	0			
6	6	0	0	0			
2,307	2,307	0	0	0			
65	65	0	0	0			

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
35,964	11,465	24,247	252	0			
17,215	4,657	12,306	252	0			
10,675	5,110	5,565	0	0			
5	5	0	0	0			
6,916	540	6,376	0	0			
378	378	0	0	0			
775	775	0	0	0			
1	1	0	0	0			
5	5	0	0	0			

Private Supporting Data							
Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other(%)
2,690	562	2,120	8	0			
1,858	256	1,594	8	0			
370	29	341	0	0			
0	0	0	0	0			
462	277	185	0	0			
0	0	0	0	0			
0							
0							